OIPE JC169 APR 11 2005 PATENT & TRADEMARK OFFICE

MAIL STOP PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Howard Carter III	Attorney Docket No. BOEI-1-1018
Serial No.: 10/068,234	Filing Date: February 5, 2002
Patent No.: 6,860,726	Issue Date: March 1, 2005
Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR	

PETITION TO CORRECT NAME OF ASSIGNEE ON PATENT ISSUED OR BEING ISSUED

TO THE COMMISSIONER FOR PATENTS:

1. Petition is hereby made to supply the name of the assignee that was:

 X incorrectly designated on the assignment document submitted on February 5, 2002.

 X incorrectly [____] designated or [XX] omitted in item 5 of the Issue Fee Transmittal form (PTOL-85b).

 ___ incorrectly named in the petition filed on [_____] under 37 C.F.R. § 3.81(b).

 ___ other [_____].

2. The correct name of the assignee is:

 [**The Boeing Company AND Rolls-Royce plc**].

3. Proof of the correct name of the assignee is established by the assignment document:

 X submitted to the Office on February 5, 2002.

 X Reel [012574] Frame [0562].

 X Reel [012572] Frame [0617].

 X Reel [012574] Frame [0583].

 X Reel [012574] Frame [0577].

 ___ received by the Office on [_____].

 Evidence of this receipt is established by the accompanying:

04/12/2005 WABDELR3 00000041 6860726
01 FC:1464 130.00 OP
02 FC:1811 100.00 OP

BLACK LOWE & GRAHAM PLLC
701 Fifth Avenue, Suite 4800
Seattle, Washington 98104
206.381.3300 • F: 206.381.3301

___ copy of return post card for this paper.

___ copy of deposited check for this paper.

___ copy of deposited account statement for this paper.

__X__ other: [copy of Recordation and Assignment].

___ A copy of this assignment document is attached.

4. The petition fee is paid as follows:

__X__ Enclosed please find a check in the amount of $130.00

___ Please charge Deposit Account No. [_____] the sum of $130.00. A duplicate of this petition is enclosed.

__X__ Please charge Deposit Account No. [501050] for any fee deficiency or credit this account for any overpayment for this petition.

5. Also enclosed is a Certificate of Correction and fee of $100.00 in the event this petition is granted.

Respectfully submitted,

BLACK LOWE & GRAHAM PLLC

Mark S. Beaufait
Registration No. 48,529
Direct Dial: 206.464.1380

MAIL CERTIFICATE

I hereby certify that this communication is being deposited with the United States Postal Service via First Class Mail under 37 C.F.R. § 1.08 on the date indicated below addressed to: MAIL STOP PETITIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/8/05
Date of Deposit

Michelle Funston-Carman

BLACK LOWE & GRAHAM PLLC
701 Fifth Avenue, Suite 4800
Seattle, Washington 98104
206.381.3300 • F: 206.381.3301

PTO/SB/44 (02-01)
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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 6,860,726

DATED : 3/1/05

INVENTOR(S) :
Howard Carter, III, John David Brown, Michael John Provost, Richard N. Johnson

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

The Assignment documents list The Boeing Company and Rolls-Royce plc as the Assignees. However the Recordation Form Cover Sheets list only The Boeing Company. Please add Rolls-Royce plc as co-Assignee. Replacement Recordation Form Coversheets are attached.

Rolls-Royce plc
65 Buckingham Gate
London, SW1E 6AT
ENGLAND

MAILING ADDRESS OF SENDER:

Black Lowe & Graham PLLC
701 Fifth Avenue, Suite 4800
Seattle, WA 98104

PATENT NO. 6,860,726

No. of additional copies



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OIPE JC169 APR 11 2005 PATENT & TRADEMARK OFFICE

Form PTO-1595 (Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Howard Carter III

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Boeing Company and Rolls-Royce plc

Internal Address: 65 Buckingham Gate

London, SW1E 6AT, ENGLAND

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 29, 2002

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

6,860,726

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 701 5th Avenue, Suite 4800

City: Seattle State: WA Zip: 98104

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....$_____

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark S. Beaufait, Reg. No. 48,529 — Name of Person Signing

MSBA — Signature

4/8/2005 — Date

Total number of pages including cover sheet, attachments, and documents: 5

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Chief Information Officer
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APRIL 11, 2002

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Black Lowe & Graham PLLC
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BLACK LOWE & GRAHAM, PLLC
MARK S. BEAUFAIT, ESQ.
816 SECOND AVENUE
SEATTLE, WASHINGTON 98104-1502

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2002

REEL/FRAME: 012574/0562
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CARTER, HOWARD III

DOC DATE: 01/29/2002

ASSIGNEE:
BOEING COMPANY, THE
7755 EAST MARGINAL WAY SOUTH
SEATTLE, WASHINGTON 98108

SERIAL NUMBER: 10068234
PATENT NUMBER:

FILING DATE: 02/05/2002
ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-19-2002

101985151

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(Rev. 03/01)
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10/068234
02/05/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Howard Carter III

02/05/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 29, 2002

2. Name and address of receiving party(ies)

Name: The Boeing Company

Internal Address: _____

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

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B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 816 Second Avenue

City: Seattle State: WA Zip: 98104-1502

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$ 40.00

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02/15/2002 DBYRNE 00000270 10068234
01 FC:581 40.00 OP

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Mark S. Beaufait, Reg. No. 48,529
Name of Person Signing

Signature

February 5, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 3

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Washington, D.C. 20231

patent applications or issued patents that have been or may be granted thereon, including without limitation all reissues, divisions, continuations, continuations-in-part, and extensions of the patent applications or patents, or any other form of protection for the inventions related to the patent application, in the United States and foreign countries; all rights of action arising from the inventions and all applications and patents on the inventions; all claims for damages by reason of past and future infringement of the inventions and all applications and patents on the inventions, and the right to sue and collect damages for such infringement. The Assignees, The Boeing Company and Rolls Royce plc, shall hold the invention as the Assignees for the use and benefit of themselves and their successors and assigns as fully and entirely as I would have held and enjoyed the invention had this assignment and sale not been made.

I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEES may request in order to make and prosecute any and all applications on the inventions, to maintain and enforce any and all patents on the inventions, and to confirm in ASSIGNEES legal title to the inventions and all applications and patents on the inventions in the United States and foreign countries, all without charge to ASSIGNEES but at no expense to me. In the event that ASSIGNEES are unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in this paragraph, or to otherwise perfect in ASSIGNEES the entire right, title, and interest in and to the patent application and related inventions, I hereby irrevocably designate and appoint the ASSIGNEES and their duly authorized officers and agents as my agent and attorney-in-fact, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of this assignment with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the ASSIGNEES any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any proprietary rights assigned to the ASSIGNEES.

EXECUTED at St. Louis (city), Missouri (state), this 29 day of January, 2002.

Howard Carter III

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816 Second Avenue • Seattle, Washington 98104
206.381.3300 • Fax: 206.381.3301 • www.blacklaw.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Carter et al.	Attorney Docket No.	BOEI-1-1018
Serial No.:	—	Group Art Unit:	—
Filing Date:		Examiner:	—

Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR

ASSIGNMENT

WHEREAS, I, Howard Carter III, residing at 2001 Andraes Lane, Chesterfield, MO 63005, am one of the inventors named in the above-identified United States patent application, and have invented new and useful improvements entitled "DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR" (hereinafter the "invention") described and claimed in the specification forming part of the application for letters patent of the United States of America;

AND, WHEREAS, The Boeing Company, a Delaware corporation having a principal address at 7755 East Marginal Way South, Seattle, WA 98108 and Rolls-Royce plc,, registered in England as Company Number 1003142, having a principal address at 65 Buckingham Gate, London SW1E 6AT, England (hereinafter jointly referred to as ASSIGNEES), are desirous of acquiring the entire right, title, and interest in and to the patent application and related inventions;

NOW, THEREFORE, for one dollar (US$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, I hereby assign and transfer to ASSIGNEES my full and exclusive right, title, and interest in and to the invention, for the United States of America and all foreign countries, including the right to apply for patents, or inventor's certificates in the name of The Boeing Company and Rolls Royce plc in foreign countries, and all rights in and to the application for letters patent of the United States of America. This transfer and assignment includes, but is not limited to, all improvements, variations, derivations and inventive subject matter directly or indirectly related to the patent application and inventions, and all provisional or nonprovisional

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1. Name of conveying party(ies):

Richard N. Johnson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Boeing Company and Rolls-Royce plc

Internal Address: 65 Buckingham Gate

London, SW1E 6AT, ENGLAND

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other_____

Execution Date: January 28, 2002

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

6,860,726

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 701 Fifth Avenue, Suite 4800

City: Seattle State: WA Zip: 98104

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$_____

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

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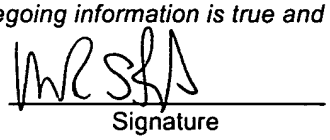
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Mark S. Beaufait, Reg. No. 48,529 — Name of Person Signing

 — Signature

4/8/2005 — Date

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APRIL 10, 2002

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Chief Information Officer
Washington, DC 20231
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BLACK LOWE & GRAHAM, PLLC
MARK S. BEAUFAIT
816 SECOND AVENUE
SEATTLE, WA 98104-1502

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RECORDATION DATE: 02/05/2002

REEL/FRAME: 012572/0617
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JOHNSON, RICHARD N.

DOC DATE: 01/28/2002

ASSIGNEE:
BOEING COMPANY, THE
7755 EAST MARGINAL WAY SOUTH
SEATTLE, WASHINGTON 98108

SERIAL NUMBER: 10068234
PATENT NUMBER:

FILING DATE: 02/05/2002
ISSUE DATE:

DIANE RUSSELE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-19-2002

101985385

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U.S. Patent and Trademark Office

10/068234 02/05/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard N. Johnson

02/05/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 28, 2002

2. Name and address of receiving party(ies)

Name: The Boeing Company

Internal Address: _____

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 816 Second Avenue

City: Seattle State: WA Zip: 98104-1502

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

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Mark S. Beaufait, Reg. No. 48,529
Name of Person Signing

Signature

February 5, 2002
Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Carter et al.	Attorney Docket No.	BOEI-1-1018
Serial No.:	—	Group Art Unit:	—
Filing Date:		Examiner:	—

Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR

ASSIGNMENT

WHEREAS, I, Richard N. Johnson, residing at 16647 SE 27th St., Bellevue, WA 98008, am one of the inventors named in the above-identified United States patent application, and have invented new and useful improvements entitled "DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR" (hereinafter the "invention") described and claimed in the specification forming part of the application for letters patent of the United States of America;

AND, WHEREAS, The Boeing Company, a Delaware corporation having a principal address at 7755 East Marginal Way South, Seattle, WA 98108 and Rolls-Royce plc,, registered in England as Company Number 1003142, having a principal address at 65 Buckingham Gate, London SW1E 6AT, England (hereinafter jointly referred to as ASSIGNEES), are desirous of acquiring the entire right, title, and interest in and to the patent application and related inventions;

NOW, THEREFORE, for one dollar (US$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, I hereby assign and transfer to ASSIGNEES my full and exclusive right, title, and interest in and to the invention, for the United States of America and all foreign countries, including the right to apply for patents, or inventor's certificates in the name of The Boeing Company and Rolls Royce plc in foreign countries, and all rights in and to the application for letters patent of the United States of America. This transfer and assignment includes, but is not limited to, all improvements, variations, derivations and inventive subject matter directly or indirectly related to the patent application and inventions, and all provisional or nonprovisional patent applications or issued patents that have been or may be granted thereon, including without

BLACK LOWE & GRAHAM PLLC

816 Second Avenue • Seattle, Washington 98104
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limitation all reissues, divisions, continuations, continuations-in-part, and extensions of the patent applications or patents, or any other form of protection for the inventions related to the patent application, in the United States and foreign countries; all rights of action arising from the inventions and all applications and patents on the inventions; all claims for damages by reason of past and future infringement of the inventions and all applications and patents on the inventions, and the right to sue and collect damages for such infringement. The Assignees, The Boeing Company and Rolls Royce plc, shall hold the invention as the Assignees for the use and benefit of themselves and their successors and assigns as fully and entirely as I would have held and enjoyed the invention had this assignment and sale not been made.

I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEES may request in order to make and prosecute any and all applications on the inventions, to maintain and enforce any and all patents on the inventions, and to confirm in ASSIGNEES legal title to the inventions and all applications and patents on the inventions in the United States and foreign countries, all without charge to ASSIGNEES but at no expense to me. In the event that ASSIGNEES are unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in this paragraph, or to otherwise perfect in ASSIGNEES the entire right, title, and interest in and to the patent application and related inventions, I hereby irrevocably designate and appoint the ASSIGNEES and their duly authorized officers and agents as my agent and attorney-in-fact, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of this assignment with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the ASSIGNEES any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any proprietary rights assigned to the ASSIGNEES.

EXECUTED at Seattle (city), Washington (state), this 28 day of January, 2002.

Richard N. Johnson 28 Jan 02

Richard N. Johnson

BLACK LOWE & GRAHAM PLLC
816 Second Avenue • Seattle, Washington 98104
206.381.3300 • Fax: 206.381.3301 • www.blacklaw.com

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Michael John Provost

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark S. Beaufait, Reg. No. 48,529 — Name of Person Signing

MSB — Signature

4/6/2005 — Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 11, 2002

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Chief Information Officer
Washington, DC 20231
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BLACK LOWE & GRAHAM PLLC
MARK S. BEAUFAIT ESQ
816 SECOND AVENUE
SEATTLE, WA 98104-1502

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Black Lowe & Graham PLLC

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2002

REEL/FRAME: 012574/0583
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PROVOST, MICHAEL JOHN

DOC DATE: 01/28/2002

ASSIGNEE:
THE BOEING COMPANY
7755 EAST MARGINAL WAY SOUTH
SEATTLE, WASHINGTON 98108

SERIAL NUMBER: 10068234
PATENT NUMBER:

FILING DATE: 02/05/2002
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02-19-2002

101985152

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
Tab settings ⇨ ⇨ ⇨

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

10/068234 02/05/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael John Provost

02/05/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Boeing Company

Internal Address: _____

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 28, 2002

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 816 Second Avenue

City: Seattle State: WA Zip: 98104-1502

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark S. Beaufait, Reg. No. 48,529 — Name of Person Signing

Mark S. Beaufait — Signature

February 5, 2002 — Date

02/15/2002 DBYRNE 00000271 10068234
01 FC:581 40.00

Total number of pages including cover sheet, attachments, and documents: 3

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Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Carter et al.	Attorney Docket No.	BOEI-1-1018
Serial No.:	—	Group Art Unit:	—
Filing Date:		Examiner:	—

Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR

ASSIGNMENT

WHEREAS, I, Michael John Provost, residing at 85 Balmoral Drive, Bramcote Hills, Nottingham, NG9 3FT, United Kingdom, am one of the inventors named in the above-identified United States patent application, and have invented new and useful improvements entitled "DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR" (hereinafter the "invention") described and claimed in the specification forming part of the application for letters patent of the United States of America;

AND, WHEREAS, The Boeing Company, a Delaware corporation having a principal address at 7755 East Marginal Way South, Seattle, WA 98108 and Rolls-Royce plc,, registered in England as Company Number 1003142, having a principal address at 65 Buckingham Gate, London SW1E 6AT, England (hereinafter jointly referred to as ASSIGNEES), are desirous of acquiring the entire right, title, and interest in and to the patent application and related inventions;

NOW, THEREFORE, for one dollar (US$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, I hereby assign and transfer to ASSIGNEES my full and exclusive right, title, and interest in and to the invention, for the United States of America and all foreign countries, including the right to apply for patents, or inventor's certificates in the name of The Boeing Company and Rolls Royce plc in foreign countries, and all rights in and to the application for letters patent of the United States of America. This transfer and assignment includes, but is not limited to, all improvements, variations, derivations and inventive subject matter directly or indirectly related to the patent application and inventions, and all provisional or nonprovisional

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patent applications or issued patents that have been or may be granted thereon, including without limitation all reissues, divisions, continuations, continuations-in-part, and extensions of the patent applications or patents, or any other form of protection for the inventions related to the patent application, in the United States and foreign countries; all rights of action arising from the inventions and all applications and patents on the inventions; all claims for damages by reason of past and future infringement of the inventions and all applications and patents on the inventions, and the right to sue and collect damages for such infringement. The Assignees, The Boeing Company and Rolls Royce plc, shall hold the invention as the Assignees for the use and benefit of themselves and their successors and assigns as fully and entirely as I would have held and enjoyed the invention had this assignment and sale not been made.

I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEES may request in order to make and prosecute any and all applications on the inventions, to maintain and enforce any and all patents on the inventions, and to confirm in ASSIGNEES legal title to the inventions and all applications and patents on the inventions in the United States and foreign countries, all without charge to ASSIGNEES but at no expense to me. In the event that ASSIGNEES are unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in this paragraph, or to otherwise perfect in ASSIGNEES the entire right, title, and interest in and to the patent application and related inventions, I hereby irrevocably designate and appoint the ASSIGNEES and their duly authorized officers and agents as my agent and attorney-in-fact, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of this assignment with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the ASSIGNEES any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any proprietary rights assigned to the ASSIGNEES.

EXECUTED at Derby, Derbyshire, United Kingdom (city/state/country), this 29th day of January, 2002.

Michael John Provost

Michael John Provost

BLACK LOWE & GRAHAM PLLC
816 Second Avenue • Seattle, Washington 98104
206.381.3300 • Fax: 206.381.3301 • www.blacklaw.com

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John David Brown

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Boeing Company and Rolls-Royce plc

Internal Address: 65 Buckingham Gate

London, SW1E 6AT, ENGLAND

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 28, 2002

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

6,860,726

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 701 Fifth Avenue, Suite 4800

City: Seattle State: WA Zip: 98104

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$_____

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark S. Beaufait, Reg. No. 48,529 — Name of Person Signing

Signature

4/8/2005 — Date

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MARK S. BEAUFAIT, ESQ.
816 SECOND AVENUE
SEATTLE, WA 98104-1502

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RECORDATION DATE: 02/05/2002

REEL/FRAME: 012574/0577
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BROWN, JOHN DAVID

DOC DATE: 01/28/2002

ASSIGNEE:
BOEING COMPANY, THE
7755 EAST MARGINAL WAY SOUTH
SEATTLE, WASHINGTON 98108

SERIAL NUMBER: 10068234
PATENT NUMBER:

FILING DATE: 02/05/2002
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
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02-19-2002

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10/068234 02/05/02

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1. Name of conveying party(ies):

John David Brown

02/05/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other_____

Execution Date: January 28, 2002

2. Name and address of receiving party(ies)

Name: The Boeing Company

Internal Address: _____

Street Address: 7755 East Marginal Way South

City: Seattle State: WA Zip: 98108

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: BOEI-1-1018

If this document is being filed together with a new application, the execution date of the application is: 1/29/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark S. Beaufait, Esq.

Internal Address: Black Lowe & Graham, PLLC

Street Address: 816 Second Avenue

City: Seattle State: WA Zip: 98104-1502

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....$ 40.00

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Mark S. Beaufait, Reg. No. 48,529
Name of Person Signing

Mark S. Beaufait
Signature

February 5, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 3

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Carter et al.	Attorney Docket No.	BOEI-1-1018
Serial No.:	—	Group Art Unit:	—
Filing Date:		Examiner:	—

Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR

ASSIGNMENT

WHEREAS, I, John David Brown, residing at 25 Peak View Drive, Ashbourne, Derbyshire, DE6 1BR, United Kingdom, am one of the inventors named in the above-identified United States patent application, and have invented new and useful improvements entitled "DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR" (hereinafter the "invention") described and claimed in the specification forming part of the application for letters patent of the United States of America;

AND, WHEREAS, The Boeing Company, a Delaware corporation having a principal address at 7755 East Marginal Way South, Seattle, WA 98108 and Rolls-Royce plc,, registered in England as Company Number 1003142, having a principal address at 65 Buckingham Gate, London SW1E 6AT, England (hereinafter jointly referred to as ASSIGNEES), are desirous of acquiring the entire right, title, and interest in and to the patent application and related inventions;

NOW, THEREFORE, for one dollar (US$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, I hereby assign and transfer to ASSIGNEES my full and exclusive right, title, and interest in and to the invention, for the United States of America and all foreign countries, including the right to apply for patents, or inventor's certificates in the name of The Boeing Company and Rolls Royce plc in foreign countries, and all rights in and to the application for letters patent of the United States of America. This transfer and assignment includes, but is not limited to, all improvements, variations, derivations and inventive subject matter directly or indirectly related to the patent application and inventions, and all provisional or nonprovisional

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I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEES may request in order to make and prosecute any and all applications on the inventions, to maintain and enforce any and all patents on the inventions, and to confirm in ASSIGNEES legal title to the inventions and all applications and patents on the inventions in the United States and foreign countries, all without charge to ASSIGNEES but at no expense to me. In the event that ASSIGNEES are unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in this paragraph, or to otherwise perfect in ASSIGNEES the entire right, title, and interest in and to the patent application and related inventions, I hereby irrevocably designate and appoint the ASSIGNEES and their duly authorized officers and agents as my agent and attorney-in-fact, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of this assignment with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the ASSIGNEES any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any proprietary rights assigned to the ASSIGNEES.

EXECUTED at Derby, United Kingdom (city/state/country),
this 28th day of January, 2002.

John David Brown

John David Brown

BLACK LOWE & GRAHAM PLLC
816 Second Avenue • Seattle, Washington 98104
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OIPE JC169
APR 11 2005
PAT & TRADEMARK OFFICE

MAIL STOP PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Howard Carter III	Attorney Docket No.	BOEI-1-1018
Serial No.:	10/068,234	Group Art Unit:	3746
Filing Date:	February 5, 2002	Examiner:	KOCZO, Jr., M.

Title: DUAL DRIVE FOR HYDRAULIC PUMP AND AIR BOOST COMPRESSOR

TRANSMITTAL LETTER

Enclosed with this transmittal letter are the following papers:

1. Petition to Correct Name of Assignee.
2. Check No. 13738 in the amount of $130.
3. Certificate of Correction.
4. Check No. 13739 in the amount of $100.
5. Copies of Recordation/Assignment documentation.
6. Return receipt postcard.

Respectfully submitted,

BLACK LOWE & GRAHAM PLLC

Mark S. Beaufait, Esq.
Registration No. 48,529
Telephone: 206.381.3300

MAIL CERTIFICATE

I hereby certify that this communication is being deposited with the United States Postal Service via first class mail under 37 C.F.R. § 1.08 on the date indicated below addressed to: **MAIL STOP PETITIONS,** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/8/05
Date of Deposit

Michelle Funston-Carman

BLACK LOWE & GRAHAM PLLC
701 Fifth Avenue, Suite 4800
Seattle, Washington 98104
206.381.3300 • F: 206.381.3301

25315
CUSTOMER NUMBER